



FORD FINANCIAL ADVISORS, LTD.

Registered Investment Advisor

CLIENT PRIVACY POLICY

Protecting your privacy is of utmost importance to Ford Financial Advisors, Limited. This privacy statement describes the information that Ford Financial Advisors, Limited collects, and the circumstances under which Ford Financial Advisors, Limited may use or share that personal information. We do not share nonpublic information (“Information”) about you outside of Ford Financial Advisors, Limited without your consent except for the specific purposes described below, in accordance with all applicable laws.

Personal Information Collection – Ford Financial Advisors, Limited collects only the Information necessary to provide the best services to you. Such service included financial planning, advising you about other products, services and opportunities, maintaining your accounts, processing transactions requested by yourself and/or your authorized agents. So that Ford Financial Advisors, Limited can continue to offer products and services that best meet the Client’s investing needs, and to effect transactions that are requested or authorized, Ford Financial Advisors, Limited may disclose collected information to companies that perform administrative, custodial and/or transactional services, such as clearing firms, transfer agents, or printers/mailers that assist Ford Financial Advisors, Limited in the distribution of investor materials. These companies will utilize this information only for the designated services which they have been requested, and are not permitted by Ford Financial Advisors, Limited to share this information for any other purpose.

Types of Personal Information Collected include:

Information we receive from you on your application and other forms

Information about your transactions with us or others

Information you communicate to us orally, including telephone conversations and in person

Information we receive from consumer reporting agencies

Information from other outside sources regarding their employment of, credit to or other relationship with you

What Personal Information is Collected - The information collected may relate to your finances, employment, avocations or other personal characteristics, such as, your first, middle and last names, address, phone number, social security number and e-mail address, as well as transactions and interactions with or through us or with others. We may also collect information about what you have entered into, and viewed and/or downloaded from our website.

Protection of Information – Ford Financial Advisors, Limited maintains physical electronic and procedural safeguards which comply with all laws. Our employees, registered representatives and investment adviser representatives acting on our behalf are required to protect the confidentiality of Information and to comply with our established policies. They may access Information only when there is an appropriate reason to do so, such as to administer or offer our products or services. Employees who violate our Privacy Policy will be subject to disciplinary process.

Disclosure of Information – We may disclose any Information when we believe it necessary for the conduct of our business, or where disclosure is required by law. For example, Information may be disclosed for audit or research purposes, to attorneys or other professionals, or to law enforcement and regulatory agencies to help, among other things, prevent fraud or money laundering. We will not make any disclosures of Information to other companies who may want to sell their products or services to you.

Former Clients – This Privacy Policy will continue to apply even if you are no longer a client.

Access to and Correction of Information – Upon the written request, we will make available for your review any file we may maintain for your personal Information; provided, however, that any Information collected in connection with, or in anticipation of, any claim or legal proceeding will not be made available. If you notify us that any Information is incorrect, we will review it. If we agree, we will correct our records. If we do not agree, you may submit a short statement of dispute, which we will include in any future disclosure of the disputed information.

Changes in this Privacy Policy – Ford Financial Advisors, Limited reserves the right to change this Privacy Policy at any time. The examples contained within this Privacy Policy are illustrations and are not intended to be exclusive. If there are material changes to this policy, they will be posted on our external website. This notice complies with all recent Federal and State laws and regulations regarding privacy. You may have additional rights under other foreign or domestic laws that may apply to you.